



## EUROCODE 8 – PART 5

- **Foundations**
- **Retaining Structures**
- **Geotechnical Aspects**

Owing to the combination of uncertainties in seismic action and ground material properties, Part 5 may not cover in details every possible design situation and its proper use may require specialised engineering judgment and experience.

## DOMAIN OF APPLICATION

- (1)P This part of EC8 establishes the requirements, **criteria and rules for the sitting and foundation soil** of for earthquake resistance design.

It covers the design of different **foundation systems**, the design of **earth retaining structures**, and **soil structure interaction** under seismic actions.

As such it **complements EC7** which does not cover the special requirement of seismic design

## DOMAIN OF APPLICATION

- (2)P The provisions of Part 5 apply to **buildings** (EN 1998-1), **bridges** (EN 1998-2), **towers, masts and chimneys** (EN 1998-6), **silos, tanks and pipelines** (EN 1998-4).
- (3)P Specialised design requirements for the foundations of certain types, when necessary, shall be found in the relevant Parts of Eurocode 8.

# GENERAL FORMAT

- Main text (24 pages)
- Six annexes :
  - A : Topographic amplification factor (I)
  - B : Liquefaction (N)
  - C : Pile head stiffnesses (I)
  - D : Dynamic soil structure interaction (I)
  - E : Earth retaining structures (N)
  - F : Seismic bearing capacity of shallow foundations (I)
- National Annex

(N) : normative (I) : informative



- **Nationally Determined Parameters :**
  - Informative Annexes A, C, D et F
  - Partial factors for material properties
  - Safety factor against liquefaction
  - Reduction of  $p_{ga}$  with depth from ground surface
  
- **What does the standard define? :**
  - Requirements (P)
  - Rules for conceptual design (P)
  - Calculation methods
  - Criteria for safety verifications (P)
  - Construction detailing

(P) : *principle*

# MECHANICAL CHARACTERISTICS

- Fundamental parameters
  - Shear wave velocity (or shear modulus  $G$ )
  - Material damping
    - *Depend on shear strain amplitude (# pga)*
  - Shear strength
  - Undrained cohesion  $C_u$
  - Cyclic undrained shear strength  $\tau_{cy,u}$
- Material factor  $\gamma_m$ 
  - $C_u$  ,  $\tau_{cy,u}$  ,  $tg(\phi')$



**1.4 , 1.25 , 1.25**

Class	Description	Parameters		
		$V_{S,30}$ (m/s)	N (SPT)	$C_u$ (kPa)
A	Rock – < 5m weak material	> 800	-	-
B	Very dense sand and gravel, very stiff clay; $h > 10m$	360 - 800	> 50	> 250
C	Deep deposits medium dense to dense sand; stiff clay; $h = 10 - 100$ m	180 - 360	15 - 50	70 - 250
D	Loose to medium dense sand, soft to firm cohesive soil	< 180	< 15	< 70
E	Alluvium C or D, $h = 5 - 20$ m above rock (A)			
$S_1$	Soft clay /silts $h > 10m$ with high PI	< 100 (indicative)	-	10 - 20
$S_2$	Liquefiable soils, sensitive clay; any soil type not listed above			

# MECHANICAL CHARACTERISTICS

$\alpha S$	Damping	$V_S / V_{Smax}$	$G / G_{max}$
0.10	0.03	0.90 ( $\pm 0.07$ )	0.80 ( $\pm 0.10$ )
0.20	0.06	0.70 ( $\pm 0.15$ )	0.50 ( $\pm 0.20$ )
0.30	0.10	0.60 ( $\pm 0.15$ )	0.36 ( $\pm 0.20$ )

$$V_{smax} \leq 360\text{m/s}$$



# LIQUEFACTION

- Verification under free field conditions
- Seed – Idriss method (1971) for seismic demand
- Seismic resistance from SPT (annexe) or CPT; *corrections specified* ( $\sigma'_v$ ,  $E_R$ )
- Safety factor > **1.25**
  - *if foundation stability affected  $\Rightarrow$  soil improvement*
- No verification required if :  $\alpha S < 0.15$  and either
  - % clay > 20% and PI > 10%
  - % silt > 35% and  $N_1 > 20$
  - Clean sand and  $N_1 > 30$

# SLOPE STABILITY

- Ultimate limit state : unacceptable displacements
- Study required for all structures close to slope
  - (except importance category I)
- Seismic action must take into account topographic amplification  $1.2 \text{ à } 1.4 \leq S_T$
- Psuedo static analysis :

$$\mathbf{F}_H = \mathbf{0.5} \alpha \mathbf{S} \mathbf{W} \quad , \quad \mathbf{F}_V = \mathbf{0.33} \text{ à } \mathbf{0.50} \mathbf{F}_H$$

- *Valid only if loss of shear strength negligible*



# FOUNDATIONS (I)

- Forces from superstructure shall be transferred to the ground without excessive deformations
- Homogeneous foundation system
  - except dynamically independent units
- Actions effects on foundations
  - *Based on capacity design principle for dissipative structures with overstrength*
  - Based on results of elastic analysis for non dissipative structures

## FOUNDATIONS (II)

- Transfer of action effects to ground :
  - Friction under base  $F_{H1}$
  - Friction on lateral sides  $F_{H2}$
  - Passive resistance  $F_B$

$$F \leq F_{H1} + F_{H2} + 0.3 F_B$$

- Shallow foundations
  - *Sliding on base allowed* (Under certain conditions)
  - *Evaluation of seismic bearing capacity (annex)*
  - Requirement for tie beams

# CODE REQUIREMENT

$$\mathbf{S}_d \leq \mathbf{R}_d$$

$$S_d(\gamma_F \cdot \text{actions}) \leq \frac{1}{\gamma_{Rd}} R_d \left( \frac{\text{strength parameters}}{\gamma_m}, \text{geometry} \right)$$

$\gamma_F$  : Load factor

$\gamma_m$  : Material factor

$\gamma_{Rd}$  : Model factor

# PROPOSED VALUES FOR $\gamma_{Rd}$

Medium to dense sand	Loose dry sand	Loose saturated sand	Non sensitive clay	Sensitive clay
1.0	1.15	1.50	1.0	1.15

## FOUNDATIONS (III)

- Pile foundations
  - Shall be designed for both :
    - Inertia forces
    - Kinematic forces : mandatory for
      - soil types D ,  $S_1$  ,  $S_2$
      - $\alpha_g S > 0.1$
      - Importance category I or II
  - Constructive arrangement:
    - Inclined piles not recommended but can be tolerated (under certain conditions)
    - *Plastic hinge can be tolerated at the pile cap connection*

# SOIL - STRUCTURE INTERACTION

- Mandatory
  - Structure sensitive to P- $\delta$  effects
  - Massive or deep seated structures
  - Slender tall structures
  - Structure supported on very soft soil ( $V_{smax} < 100$  m/s)
- Annex describes the main effects of soil structure interaction



# RETAINING STRUCTURES (I)

- Based on pseudo-static analysis

$$E_d = \frac{1}{2} \gamma^* (1 + k_v) K H^2 + E_{ws} + E_{wd}$$

- K calculated from Mononobe-Okabe formula

$$- k_h = \alpha S/r \quad k_v = \pm 0.33 \text{ à } 0.50 k_h$$

- Seismic coefficient depends on amplitude of allowable displacements ( $1.0 \leq r \leq 2.0$ )

- (Very) detailed normative annex for calculations of K and  $\gamma^*$  depending on permeability of backfill

## RETAINING STRUCTURES (II)

- Safety factor against liquefaction higher than 2.0
- Anchoring system :
  - Must accommodate soil displacements
  - Increase in distance for anchors with respect to static design

$$L = L_S (1 + 1.5 \alpha S)$$